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Neutralizing antibody responses to SARS-CoV-2 in a COVID-19 recovered patient cohort and their implications

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Abstract:	<p>Background The COVID-19 pandemic caused by SARS-CoV-2 coronavirus threatens global public health. Currently, neutralizing antibodies (NAbs) versus this virus are expected to correlate with recovery and protection of this disease. However, the characteristics of these antibodies have not been well studied in association with the clinical manifestations in patients.</p> <p>Methods Plasma collected from 175 COVID-19 recovered patients with mild symptoms were screened using a safe and sensitive pseudotyped-lentiviral-vector-based neutralization assay. Spike-binding antibody in plasma were determined by ELISA using RBD, S1, and S2 proteins of SARS-CoV-2. The levels and the time course of SARS-CoV-2-specific NAbs and the spike-binding antibodies were monitored at the same time.</p> <p>Findings SARS-CoV-2 NAbs were unable to cross-reactive with SARS-CoV virus. SARS-CoV-2-specific NAbs were detected in patients from day 10-15 after the onset of the disease and remained thereafter. The titers of NAb among these patients correlated with the spike-binding antibodies targeting S1, RBD, and S2 regions. The titers of NAbs were variable in different patients. Elderly and middle-age patients had significantly higher plasma NAb titers ($P < 0.0001$) and spike-binding antibodies ($P = 0.0003$) than young patients. Notably, among these patients, there were ten patients whose NAb titers were under the detectable level of our assay ($ID_{50} < 40$); while in contrast, two patients,</p>

showed very high titers of NAb, with ID50 :15989 and 21567 respectively. The NAb titers were positive correlated with plasma CRP levels but negative correlated with the lymphocyte counts of patients at the time of admission, indicating an association between humoral response and cellular immune response.

Interpretation

The variations of SARS-CoV-2 specific NAb in recovered COVID-19 patients may raise the concern about the role of NAb on disease progression. The correlation of NAb titers with age, lymphocyte counts, and blood CRP levels suggested that the interplay between virus and host immune response in coronavirus infections should be further explored for the development of effective vaccine against SARS-CoV-2 virus. Furthermore, titration of NAb is helpful prior to the use of convalescent plasma for prevention or treatment.

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1 **Neutralizing antibody responses to SARS-CoV-2 in a COVID-19 recovered**
2 **patient cohort and their implications**

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19 **Keywords:** COVID-19; SARS-CoV-2; Neutralizing antibody

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26 **Background**

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28 health. Currently, neutralizing antibodies (NAbs) versus this virus are expected to
29 correlate with recovery and protection of this disease. However, the characteristics of
30 these antibodies have not been well studied in association with the clinical
31 manifestations in patients.

32

33 **Methods**

34 Plasma collected from 175 COVID-19 recovered patients with mild symptoms were
35 screened using a safe and sensitive pseudotyped-lentiviral-vector-based neutralization
36 assay. Spike-binding antibody in plasma were determined by ELISA using RBD, S1,
37 and S2 proteins of SARS-CoV-2. The levels and the time course of SARS-CoV-2-
38 specific NAbs and the spike-binding antibodies were monitored at the same time.

39

40 **Findings**

41 SARS-CoV-2 NAbs were unable to cross-reactive with SARS-CoV virus. SARS-CoV-
42 2-specific NAbs were detected in patients from day 10-15 after the onset of the disease
43 and remained thereafter. The titers of NAb among these patients correlated with the
44 spike-binding antibodies targeting S1, RBD, and S2 regions. The titers of NAbs were
45 variable in different patients. Elderly and middle-age patients had significantly higher
46 plasma NAb titers ($P < 0.0001$) and spike-binding antibodies ($P = 0.0003$) than young
47 patients. Notably, among these patients, there were ten patients whose NAb titers were
48 under the detectable level of our assay ($ID_{50} < 40$); while in contrast, two patients,
49 showed very high titers of NAb, with $ID_{50} : 15989$ and 21567 respectively. The NAb
50 titers were positive correlated with plasma CRP levels but negative correlated with the
51 lymphocyte counts of patients at the time of admission, indicating an association
52 between humoral response and cellular immune response.

53

54

55 **Interpretation**

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57 raise the concern about the role of NAbs on disease progression. The correlation of
58 NAb titers with age, lymphocyte counts, and blood CRP levels suggested that the
59 interplay between virus and host immune response in coronavirus infections should be
60 further explored for the development of effective vaccine against SARS-CoV-2 virus.
61 Furthermore, titration of NAb is helpful prior to the use of convalescent plasma for
62 prevention or treatment.

63

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65 Ministry of Science and Technology of China, National Natural Science Foundation of
66 China, Shanghai Municipal Health Commission, and Chinese Academy of Medical
67 Sciences

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71

72 **Introduction**

73 The outbreak of coronavirus disease 2019 (COVID-19) in December 2019 has spread
74 around the world and become a global pandemic.¹ The etiological agent of COVID-19
75 was identified as a SARS-related coronavirus designated as SARS-CoV-2
76 coronavirus.^{2,3} As of March 27, 2020, it had caused a total of 509,164 cases of infection
77 and resulted in 23,335 deaths worldwide.¹ About 81% of infected patients showed only
78 mild symptoms, but 14% of them had severe symptoms such as dyspnea, high
79 respiratory frequency and low blood oxygen saturation. Another 5% of patients,
80 especially those over 60, or with comorbidities, progressed to critical condition. About
81 3·4% of patients died from respiratory failure or multiple organ failure.⁴ Although the
82 estimated mortality rate of COVID-19 was lower than SARS and MERS, the number
83 of deaths associated with COVID-19 has already surpassed those of SARS and MERS
84 owing to the extremely high transmissibility of SARS-CoV-2 coronavirus. Currently,
85 no licensed vaccine or drugs are available to prevent or treat COVID-19 infection, and
86 most infected patients have been treated with supportive care.

87

88 Neutralizing antibodies (NAbs) play important roles in virus clearance and have been
89 considered as a key immune product for protection or treatment against viral diseases.
90 Virus-specific NAbs, induced through either infection or vaccination, have the ability
91 to block viral infection. The level of NAbs has been used as a gold standard to evaluate
92 the efficacy of vaccines against smallpox, polio and influenza viruses.⁵ Passive
93 antibody therapy, such as plasma fusion, was successfully used to treat infectious viral
94 diseases, including SARS-CoV virus,⁶ influenza viruses,⁷ and Ebola virus.⁸ The
95 efficacy of passive antibody therapy was associated with the concentration of NAbs in
96 plasma or antibodies of recovered donors.⁸ As the global pandemic of COVID-19
97 proceeds, transfusion of convalescent plasma or serum from recovered patients was also
98 considered as a promising therapy for prophylaxis of infection or treatment of disease.⁹
99 However, the levels and roles of SARS-CoV-2-specific NAbs in patients with COVID-
100 19 have not been reported.

101

102 Here, we used a pseudotyped-lentiviral-vector-based neutralization assay to measure
103 SARS-Cov-2-specific NAbs in plasma from recovered COVID-19 patients with mild
104 symptoms. The pseudovirus (PsV) neutralization assay is a sensitive and reproducible
105 assay. It does not produce any highly pathogenic virus, and it can be safely handled in
106 a biosafety level 2 facility. Herein, we aimed to explore the clinical characteristics
107 associated with the level of NAbs in recovered patients, the outcome of which may
108 provide useful information for the development of vaccines and passive antibody
109 therapy for the prevention and treatment of SARS-CoV-2.

110

111 **Research in context**

112 **Evidence before this study**

113 We searched PubMed on Mar 28, 2020, using the keywords "novel coronavirus" OR
114 "SARS-CoV-2" OR "COVID-19" AND "neutralizing antibody" for articles with no
115 language or time restrictions. no published works were found about the neutralizing
116 antibody (NAb) in patients of COVID-19.

117 **Added value of this study**

118 To the best of our knowledge, this is the first report about NABs drawn from the plasma
119 of a COVID-19 recovered cohort. In this study, we analyzed the NABs levels and
120 associated clinical features, including age, complete blood counts, biochemistry and
121 length of hospital stay of 175 COVID-19 recovered patients. We observed an
122 association between NABs titers and age, blood CRP level, lymphocyte counts, and the
123 gender of the patients. These observations potentially provide useful information for
124 passive antibody therapy and vaccine development against SARS-CoV-2.

125 **Implications of all the available evidence**

126 SARS-CoV-2 specific NABs were induced in recovered patients of COVID-19 and the
127 titers of NABs were variable depending on the ages and gender. Titration of NAb is
128 helpful prior to the use of convalescent plasma for prevention or treatment.

129

130 **Methods**

131 **Study design and participants**

132 The study included a cohort of 175 adult COVID-19 patients admitted to Shanghai
133 Public Health Clinical Center. The study was conducted under a clinical protocol
134 approved by the Investigational Review Board in the Shanghai Public Health Clinical
135 Center (Study number: YJ-2020-S021-01). All participants signed an informed consent
136 approved by the IRB. All patients were diagnosed with laboratory-confirmed COVID-
137 19 and discharged after meeting effective national treatment standards. Clinical
138 information, including complete blood counts, blood biochemistry was collected at the
139 time of admission.

140

141 **Materials**

142 293T cells expressing human angiotensin converting enzyme II (ACE2) (293 T/ACE2)
143 were obtained from the American Type Culture Collection (ATCC; Manassas, VA, USA)
144 and were cultured in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal
145 bovine serum (FBS). The three domains of SARS-CoV-2 spike (S) protein, including
146 S1 and S2 subunits, as well as RBD, were purchased from Sino Biological Company

4

147 (Beijing, China). The expression plasmids for SARS S protein pcDNA3.1-SARS-S
148 (ABD72979.1) and SARS-CoV-2 S protein pcDNA3.1-SARS-CoV-2-S (NC_045512)
149 were synthesized by Genscript. The VSV-G envelope eukaryotic expression vector
150 pHEF-VSVG and the HIV-1 Env-deficient luciferase reporter vector pNL4-3·Luc·R-
151 E- were obtained through the NIH AIDS Reagent Program.

152

153 **Neutralization assay**

154 Neutralization activity of plasma from COVID-19 patients was measured using a
155 single-round PsV infection of 293 T/ACE2 cells. PsVs of SARS-CoV-2, SARS-CoV
156 and VSV-G virus were generated by co-transfection of 293T cells with pNL4-
157 3·Luc·R-E- backbone and viral envelope protein expression plasmids pcDNA3.1-
158 SARS-CoV-2-S, pcDNA3.1-SARS-S or pHEF-VSVG. PsVs could infect the same
159 cells as those infected by SARS-CoV-2 or SARS-CoV viruses.^{10,11} The neutralization
160 assay was performed in accordance with the following steps. First, 293 T/ACE2 cells
161 were seeded in a 96-well plate at a concentration of 10^4 cells per well and cultured for
162 12 hours. Then, ten μ l heat-inactivated plasma were five-fold serially diluted with
163 DMEM with 10% FBS and mixed with 40 μ l of PsV. The mixture was added to cultured
164 293 T/ACE2 for infection. The culture medium was refreshed after 12 hours and
165 incubated for an additional 48 hours. Assays were developed with a luciferase assay
166 system (Promega), and the relative light units (RLU) were read on a luminometer
167 (Perkin Elmer). The titers of NAbS were calculated as 50% inhibitory dose (ID₅₀),
168 expressed as the highest dilution of plasma which resulted in a 50% reduction of
169 luciferase luminescence compared with virus control.

170

171 **ELISA**

172 SARS-CoV-2 RBD, S1, or S2 protein and SARS-CoV RBD or S1 protein (1 μ g/ml)
173 was coated on a MaxiSorp Nunc-immuno 96-well plate overnight at 4 °C. Wells were
174 blocked with 5% nonfat milk in PBS for 1 hour at room temperature, followed by
175 incubation with 1:400 diluted sera or serially diluted sera in disruption buffer (PBS, 5%
176 FBS, 2% BSA, and 1% Tween-20) for 1 hour at room temperature. A 1:2500 dilution
177 of horseradish peroxidase (HRP)-conjugated goat anti-human IgG antibody was added
178 for 1 hour at room temperature. Wells were washed five times between each step with
179 0.2% Tween-20 in PBS. Wells were developed using ABST (Thermo) and read at 405
180 nm.

181

182 **Statistical analysis**

183 Statistical analyses were carried out using GraphPad Prism 7.0. Data are indicated as
184 medians. Differences between nominal data were tested for statistical significance by
185 use of paired or unpaired *t* test. Correlations were calculated using standard Pearson

186 correlation.

187

188 **Role of the funding source**

189 The funders of the study had no role in study design, data collection, data analysis, data
190 interpretation, or writing of the report. The corresponding author had full access to all
191 the data in the study and had final responsibility for the decision to submit for
192 publication.

193

194 **Results**

195 **Clinical Characteristics**

196 A total of 175 COVID-19 patients had recovered and were discharged from the
197 Shanghai Public Health Clinical Center as of February 26, 2020. Their symptoms were
198 common or mild, and none of them was admitted to the ICU. The median age of the
199 patients was 50 years (ranging from 16 to 85 years); 53 % of the patients were female.
200 The median length of hospital stay was 16 days (ranging from 7 to 30 days), and the
201 median disease duration was 21 days (9 to 34 days).

202

203 **Convalescent plasma from COVID-19 patients specifically inhibited SARS-CoV- 204 2, but not SARS-CoV infection**

205 We collected five plasma samples from COVID-19 patients at the time of discharge and
206 measured their neutralizing titers against SARS-CoV-2 infection of 293T/ACE2 cells.
207 All five plasma showed a concentration-dependent inhibition of SARS-CoV-2 PsV
208 infection of 293T/ACE2 cells (Figure 1A). Plasma with high titers of NAbs showed
209 higher titers of SARS-CoV-2 RBD, S1, and S2-specific binding antibodies (Figure 1B).
210 Moreover, plasma from these patients also showed cross-binding to SRAS-CoV RBD
211 and S1 regions (Figure 1C), but the binding to SARS-CoV S protein was not consistent
212 with that to SARS-CoV-2 S protein. Furthermore, plasma from COVID-19 patients
213 could not inhibit SARS-CoV infection in PsV neutralization assay. 26 plasma samples
214 from COVID-19 patients, which showed strong SARS-CoV-2 neutralizing activities
215 (Figure 1D), could neither neutralize SARS-CoV PsV infection nor the control VSV-G
216 PsV infection (Figure 1E). These results suggest that SARS-CoV-2 was able to
217 stimulate SARS-CoV cross-binding antibodies. However, it was unable to induce the
218 cross-neutralizing antibodies against SARS-CoV. These results suggested that the
219 epitope or immunogenicity between SARS-CoV-2 and SARS-CoV were different.

220

221 **COVID-19 patients generated SARS-CoV-2-specific NAbs and spike-binding 222 antibodies concurrently from day 10 to 15 after infection**

223 We monitored the kinetics of SARS-CoV-2-specific NAb development during the
224 course of disease. The titers of NABs were evaluated in plasma collected from six
225 patients at different time points after the disease onset. The kinetics of NABs
226 development were similar among patients. The titers of NABs in all patients were low
227 (ID50: < 200) before day 10 post-disease onset and then increased at day 10 to 15 post-
228 disease onset, remaining stable thereafter (Figure 2A). We also measured the binding
229 antibodies to the different domains (S1, S2, and RBD) of SARS-CoV-2 spike protein
230 in the plasma of these six patients. The kinetics of NABs (right Y axis) and binding
231 antibodies targeting S1, S2, and RBD domains (left Y axis) were aligned with individual
232 patients (Figure 2B). We evaluated the SARS-CoV-2-specific NABs titers and the spike-
233 binding antibody levels in the plasma of 175 recovered patients on the day of discharge.
234 We observed that SARS-CoV-2-specific NABs titers moderately correlated with spike-
235 binding antibodies targeting RBD ($r=0.53$, $p<0.0001$), S1 ($r=0.427$, $p<0.0001$), and S2
236 ($r=0.448$, $p<0.0001$) (Figure 2C). These results suggested that humoral immune
237 responses of COVID-19 patients against SARS-CoV-2 occurred on day 10 to 15 after
238 infection. Besides RBD region, S2 domain might be the target of SARS-CoV-2-NABs.
239 Since binding antibodies may also play a role in viral clearance through antibody-
240 dependent phagocytosis or antibody-dependent cellular cytotoxicity, the effect of NABs
241 and binding antibodies on disease progression is worth comprehensive evaluation in
242 further study.

243

244 **About 30% of recovered patients generated very low titers of SARS-CoV-2-** 245 **specific NABs**

246 We observed that NAb titers were variable in the plasma of 175 recovered patients.
247 ID50s ranged from below detection limit (<40) to 21567 (Figure 3A). About 30% of
248 recovered patients generated a very low level of NAb titers (ID50: < 500) (Figure 3A,
249 3B, and Supplementary Table 1), and NAb titers in ten of them were below the limit of
250 detection (ID50: <40), though all of them were lab confirmed infected with SARS-
251 CoV-2 (Supplementary Table 2). About 17%, 39%, and 14% showed medium-low
252 (ID50: 500-999), medium-high (ID50: 1000-2500), and high (ID50: > 2500) NAb titers,
253 respectively (Figure 3B). We also collected and measured the levels of NABs in plasma
254 from 21 of the 175 patients during the follow-up examination two weeks after discharge.
255 As shown in Figure 3C, NAb plasma titers collected at the time of follow-up
256 examinations did not significantly differ from those collected at the time of discharge
257 ($P=0.441$, paired-*t* test). Patients who did not generate NABs at the time of discharge
258 did not develop NABs thereafter. These results revealed that a proportion of patients
259 infected with SARS-CoV-2 would recover without developing high titers of virus-
260 specific NABs. How these patients recovered without the help of NABs and whether
261 they were at risk of re-infection of SARS-CoV-2 should be further explored. Titration
262 of NAB is helpful prior to the use of convalescent plasma for prevention or treatment.

263

264 **Elderly and middle-age recovered COVID-19 patients developed higher levels of**
265 **SARS-CoV-2-specific NABs**

266 We observed that elderly patients were more likely to induce higher titers of NABs than
267 younger patients. As shown in Figure 4A, the patients were divided into three groups
268 based on their age, young (15-39 years), middle-age (40-59 years) and elderly (60-85
269 years). Patient numbers from each group were similar (55, 64 and 56) (Supplementary
270 Table 3). NAb titers of elderly and middle-age recovered patients were significantly
271 higher than those of young recovered patients ($p < 0.0001$ and $p < 0.0001$, *t* test) (Figure
272 4A), and the corresponding median ID50s were 1537, 1255, and 488 respectively
273 (Figure 4A). A moderate positive correlation was also observed between age and NAb
274 titers ($r = 0.436$, $P < 0.001$, Pearson) (Figure 4C), confirming the important role of age in
275 the generation of NABs. Elderly and middle-age recovered patients had significantly
276 higher levels of spike-binding antibodies, targeting S1 ($p = 0.0003$ and $p = 0.0035$, *t* test),
277 RBD ($p < 0.0001$ and $p = 0.0094$, *t* test) and S2 ($p = 0.0003$ and $p = 0.0019$, *t* test), than
278 those of young recovered patients (Figure 4C). However, no difference was observed
279 between patients' ages and the length of stay in hospital (Figure 4D). These results
280 indicated that high level of NABs might be useful to clear the viruses and helpful for
281 the recovery of elderly and middle-age patients.

282

283

284 **COVID-19 recovered patients age and SARS-CoV-2-specific NABs titers**
285 **negatively correlated with lymphocyte count and positively correlated with CRP**
286 **levels on admission**

287 Older age was usually associated with poor outcome among COVID-19 patients¹².
288 Consistent with the previous reports, the elderly and middle-age patients in this cohort
289 had lower lymphocyte counts ($r = -0.389$, $p < 0.0001$, Figure 5A left) and higher CRP
290 level ($r = -0.432$, $p < 0.0001$, Figure 5A right) than young patients on admission (Figure
291 5A left and right). However, none of the patient progressed into severe conditions, and
292 no significant difference was observed between age and length of hospital stay among
293 these patients (Figure 4D). Interestingly, we observed that the NAb titers negatively
294 correlated with blood lymphocyte counts ($r = -0.44$, $p < 0.0001$, Figure 5B left) and
295 positively correlated with blood CRP levels ($r = 0.5$, $p < 0.0001$, Figure 5B right),
296 suggesting that the humoral response might play an important role when cellular
297 response was dysfunction or impaired.

298

299 **Discussion**

300 Spread of the COVID-19 global pandemic highlights the urgent need to develop
301 effective treatments or vaccines against SARS-CoV-2 infection. NABs have been
302 considered as an effective drug to treat or prevent virus infection. Here we evaluated
303 the level of NABs in recovered patients of COVID-19 by using a PsVs neutralization

304 assay, which has been extensively used for the evaluation of NAbs for many highly
305 pathogenic viruses, including Ebola,¹³ highly pathogenic influenza virus,^{14,15} SARS-
306 CoV,¹⁶ and MERS-CoV.¹⁷ The PsVs neutralization assay was also used for the
307 evaluation of NAbs for SARS-CoV-2 in some recent reports,^{11,18,19} generating
308 consistent results compared with traditional plaque reduction neutralization assay.¹⁸

309 We found that most COVID-19 patients developed SARS-CoV-2-specific NAbs at the
310 convalescent phase of infection. The titers of NAbs reached their peak at 10 to 15 days
311 after disease onset and remained stable thereafter in patients. Antibodies targeting on
312 different domains of S protein, including S1, RBD and S2, may all contribute to the
313 neutralization.

314 Conserved epitopes may exist between SARS-CoV-2 and SARS-CoV since they share
315 77.2% identical amino acids in their spike proteins.² Few reports have demonstrated
316 that SARS-CoV-specific monoclonal NAbs could cross-neutralize SARS-CoV-2 PsV
317 infection,^{3,11,18} Even though plasma from COVID-19 patients showed cross-binding to
318 SARS-Cov, they did not neutralize SARS-CoV, indicating that the antigenicity of
319 SARS-CoV-2 is different from that of SARS-CoV. Evidence deduced from this study
320 only suggested that cross-neutralizing antibodies targeted the conserved epitopes of
321 SARS-CoV and SARS-CoV-2 may not be easily elicited during the infection of
322 COVID-19, making this a potential line of advanced study.

323 It is also noteworthy that the levels of NAbs in patients were variable. About 30% of
324 patients failed to develop high titers of NAbs after COVID-19 infection. However, the
325 disease duration of these patients compared to others was similar. Notably, there were
326 ten recovered patients whose NAb titers were very low, under the detectable level of
327 this study (ID50: <40), suggesting that other immune responses, including T cells or
328 cytokines, may contribute to the recovery of these patients. Whether these patients were
329 at high risk of rebound or reinfection should be explored in further studies. On the other
330 hand, two patients had very high titer of NAbs, which were over ID50: 15989 and 21567
331 respectively, but did not show any antibody-related adverse reactions.

332 The NAbs titers in patients were also observed to be correlated with the age of the
333 patients. Elderly patients had significantly higher titers of NAbs than younger patients.
334 Age has been reported as an important predictor of adverse disease outcome after
335 infection with coronavirus, including SARS-CoV²⁰, MERS-CoV²¹ and SARS-CoV2¹².
336 Previous studies in SARS-CoV-infected macaques revealed that aged macaques
337 induced elevated innate immune response, resulting in more severe pathology than
338 young adult macaques²². The elderly patients in this cohort also had higher blood CRP
339 level and lower lymphocyte counts at the time of admission, indicating the induction of
340 stronger innate immune response than younger patients. High level of NAbs may be a
341 result of strong immune response in these elderly patients. Whether the high level of
342 NAbs protect these patients from progression into severe and critical conditions is
343 worthy of comprehensive evaluation. Further study of the immunological
344 characteristics of COVID-19 patients may reveal key determinants in the generation of
345 NAbs and effective cell-mediated immune responses, which is important for the

346 development of an effective vaccine against SARS-CoV-2 virus.

347 This study is preliminary and has several limitations. First, viral RNA was not
348 detectable in patients' blood. Owing to the lack of respiratory specimens, information
349 about the kinetics of viral loads was not available. Second, patients in severe and critical
350 condition were excluded from the study because they received passive antibody
351 treatment before sample collection. Thus, we were not able to directly evaluate the
352 effect of NAbs on virus clearance or disease progression of COVID-19 patients in this
353 study. A further comprehensive study should be made to address the question.

354 To the best of our knowledge, this is the first report about NAbs drawn from the plasma
355 of a COVID-19 recovered patient cohort, potentially providing useful information for
356 passive antibody therapy and vaccine development against SARS-CoV-2 virus. The
357 highly variable levels of NAbs in the patients of COVID-19 indicated that convalescent
358 plasma and serum from recovered donors should be titrated before use in passive
359 antibody therapy, an easy task that can be performed using the PsV neutralization assay.
360 Correlation of NAbs titers with the age, lymphocyte counts and blood CRP levels of
361 patients also lays the groundwork for further study to explore the mechanism of NAbs
362 development in COVID-19 patients.

363

364 **Declaration of interests**

365 We declare no competing interests.

366

367

368 **Contributions**

369 JH, FW, and YW conceived and designed the experiments. JH, FW, AW, ML, QW, and
370 YZ performed the experiments. JH, FW, SX, and LL constructed the SARS-CoV-2 PsV
371 plasmid. FW, JH, HL, JC, YL, QW, and JX collected the samples of recovered patient
372 and clinical information. JH, FW, YW, and SJ analyzed the data and wrote the
373 manuscript.

374

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382

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445 **Figure legends**

446 **Figure 1. Plasma from COVID-19 recovered patients specifically inhibited SARS-CoV-2 infection**
447 **but not SARS-CoV virus.** (A) Plasma from five COVID-19 recovered patients inhibited infection of
448 SARS-CoV-2. Plasma from a healthy donor was used as a negative control. The assay was performed in
449 duplicate and the median percentage of neutralization is shown. (B) Binding of COVID-19 recovered
450 patient plasma to SARS-CoV-2 S1, RBD, and S2 proteins. (C) Binding of COVID-19 recovered patient
451 plasma to SARS-CoV S1 and RBD proteins. (D) The SARS-CoV-2 NAb titers of 26 plasma from
452 COVID-19 recovered patients were compared with 13 plasma from healthy donors. P value was
453 calculated using *t* test. (E) The titers of NAb against VSV, SARS, and SARS-CoV-2 PsV in 26 COVID-
454 19 recovered patient plasma were compared. P values were calculated using *t* test.

455

456 **Figure 2. SARS-CoV-2-specific NAb and spike-binding antibodies emerged concurrently on day**
457 **10-15 during the COVID-19 disease progression and shown correlation.** (A) Kinetics of SARS-CoV-
458 2 NAb titers in six COVID-19 patients are shown. Plasma were collected at different time points post
459 syndrome onset. (B) Kinetics of spike binding antibodies (left Y axis), targeting S1 (green), RBD (blue),
460 and S2 (brown), in six COVID-19 patient plasma are shown and compared with the kinetics of NAb
461 titers (right Y axis, red) in the same patient. (C) The correlations between the SARS-CoV-2 NAb titers
462 and S1, RBD, or S2 binding antibodies levels of patients were analyzed by Pearson correlation test. 1:400
463 diluted plasma was incubate with S1, RBD and S2 protein.

464

465 **Figure 3. COVID-19 recovered patients developed variable levels of SARS-CoV-2 specific NAb..**
466 (A) SARS-CoV-2 NAb titers (ID50) of 175 COVID-19 recovered patient plasma collected on the day of
467 discharge were measured in PsV neutralization assay. (B) Percentages of patients with low (ID50: <500),
468 medium-low (ID50: 500-999), medium-high (ID50: 1000-2500), and high (ID50: >2500) titers of SARS-
469 CoV-2-specific NAb are shown. (C) NAb titers of 21 COVID-19 recovered patient plasma collected
470 on the day of discharge and the subsequent visit in two weeks were compared. P value was calculated
471 using *t* test.

472

473 **Figure 4. Elderly and middle-age recovered COVID-19 patients developed higher levels of SARS-**
474 **CoV-2-specific NAbS than young recovered patients.** (A) NAbS titers of young (15-39 years), middle-
475 age (40-59 years) and elderly (60-85 years) patients were compared. P values were calculated using *t* test.
476 (B) The correlation between ages of patients and the titers of SARS-CoV-2-specific NAbS was analyzed
477 by Pearson correlation test. (C) S1, RBD, or S2 binding antibodies levels of young, middle-age, and
478 elderly recovered COVID-19 patients were compared. P values were calculated using *t* test.

479 **Figure 5. Age and SARS-CoV-2-specific NAb levels negatively correlated with lymphocyte count**
480 **and positively correlated with CRP levels of patients on the time admission.**

481 (A) The correlations between patient age and lymphocyte counts (left) or C-reactive protein (CRP) level
482 (right) on admission were analyzed by Pearson correlation test. (B) Correlations between SARS-CoV-2-
483 specific NAb titers and lymphocyte count (left) or CRP level (right) of patients were analyzed by Pearson
484 correlation tests. The reference range for lymphocyte counts is $1.1-3.2 \times 10^9 /L$ and for blood CRP is less
485 than 3mg/L.

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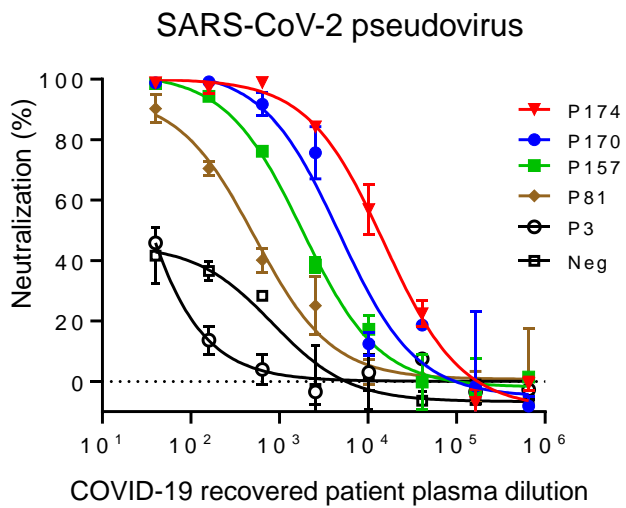
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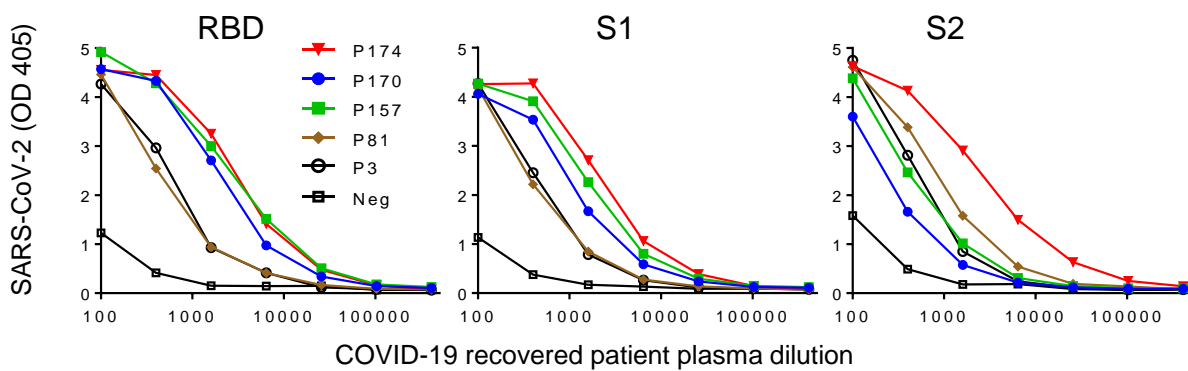
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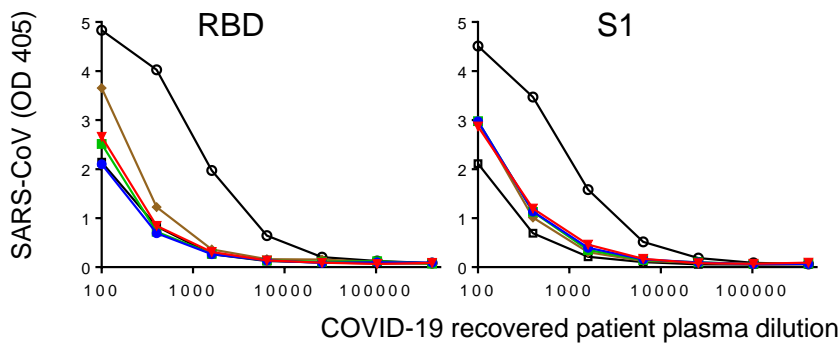
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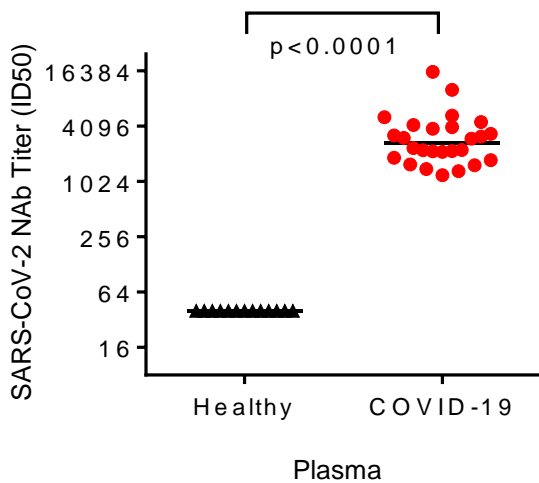
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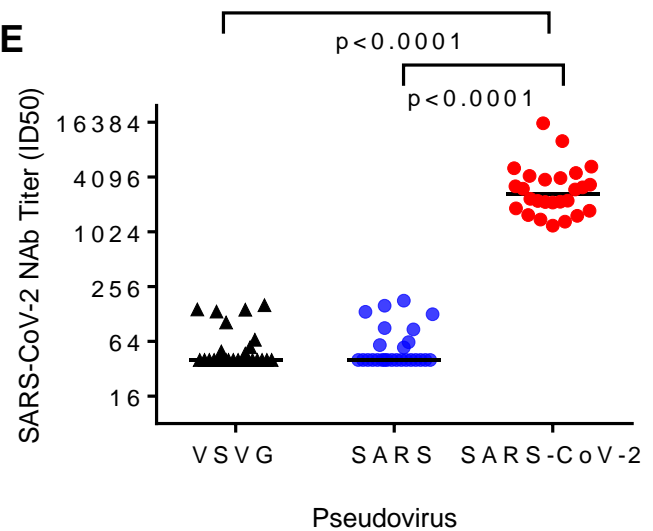
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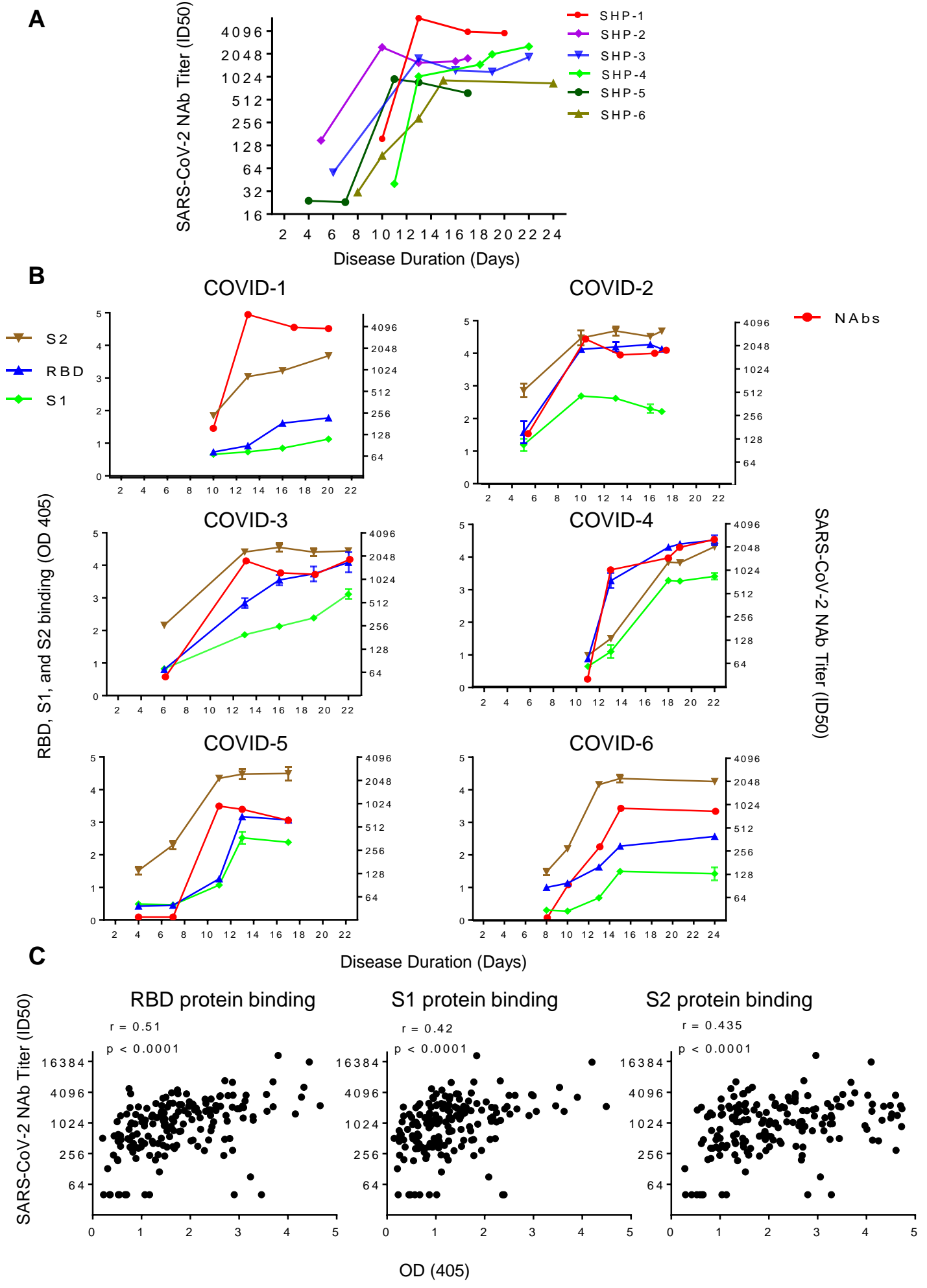


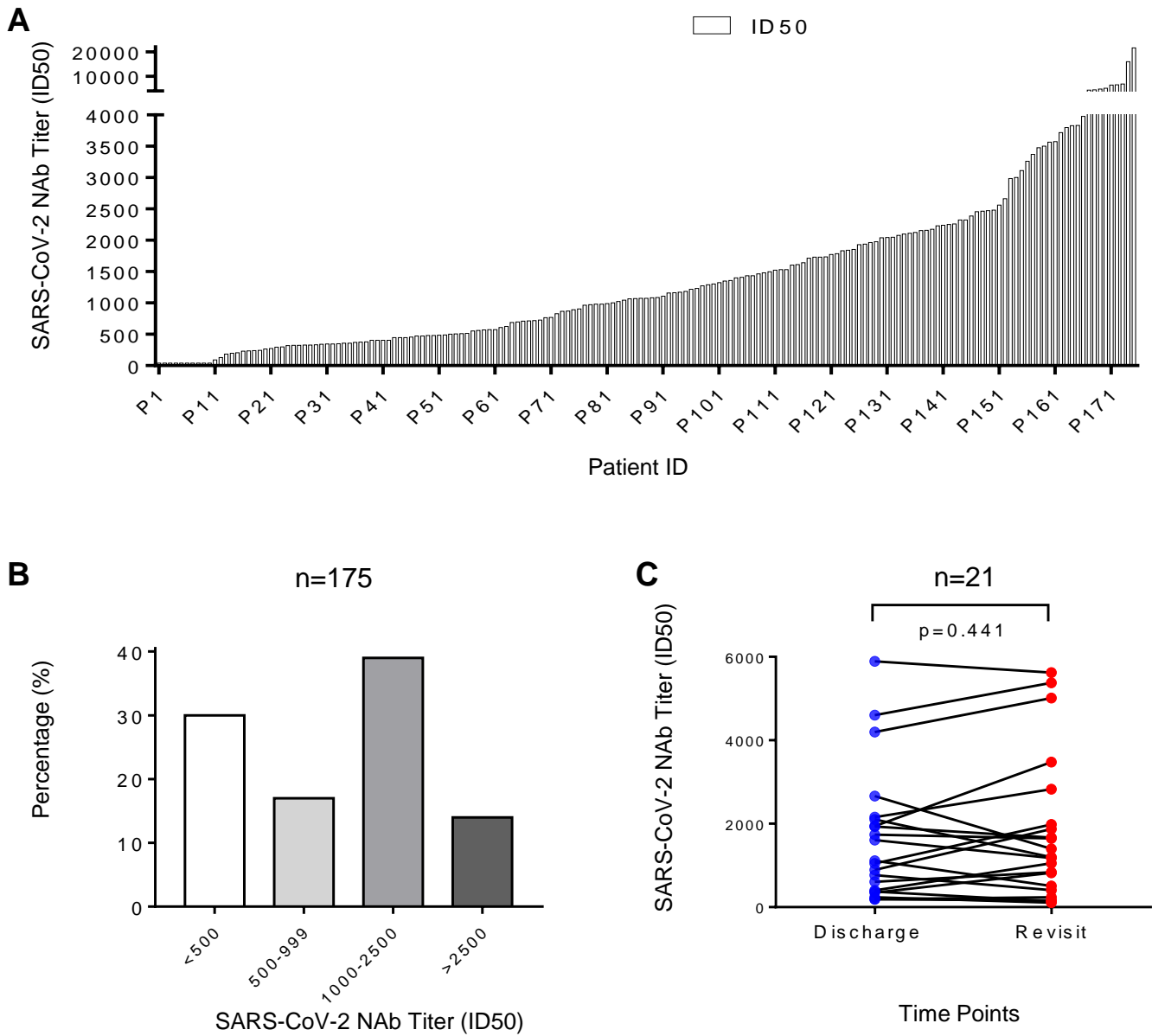
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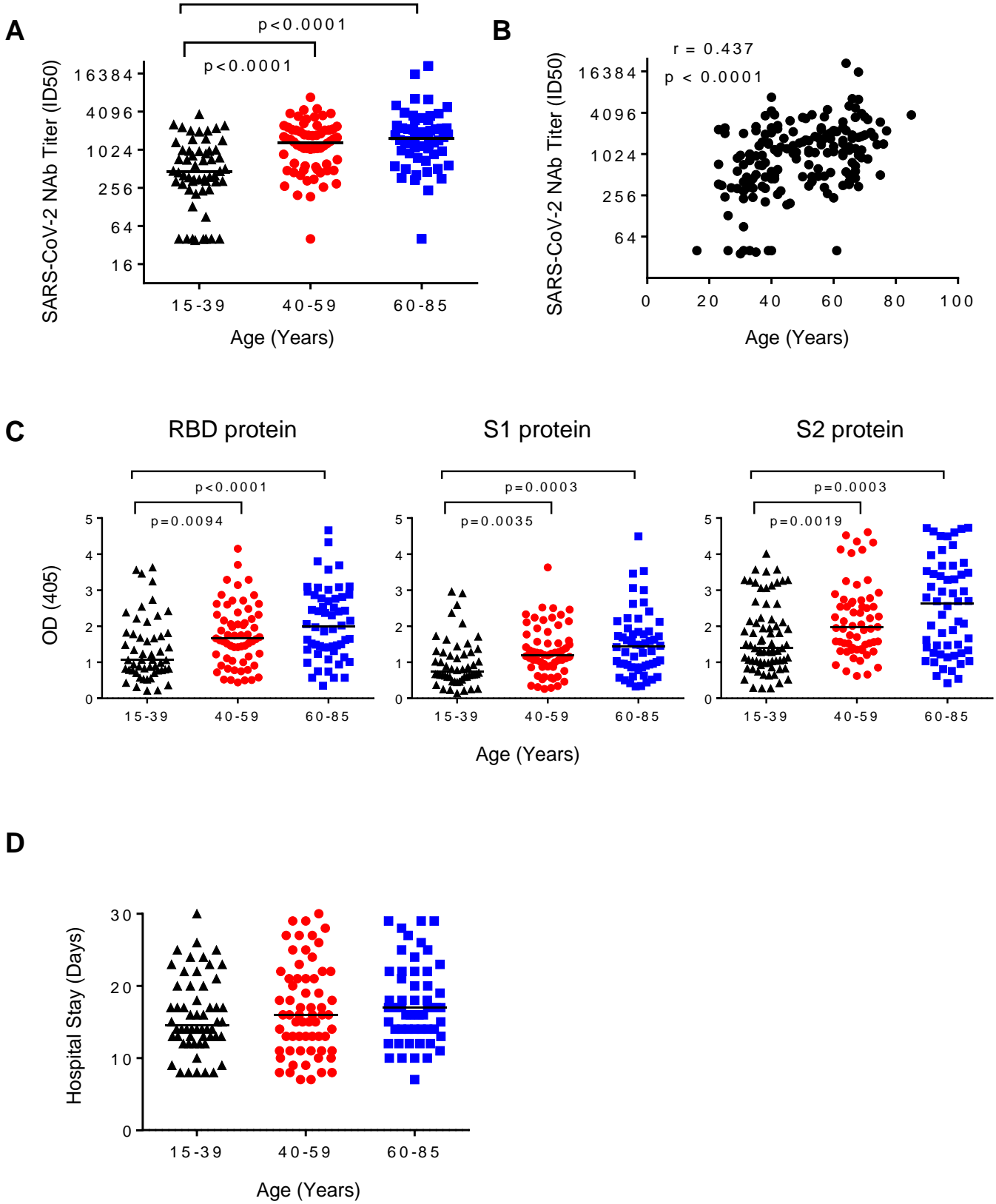


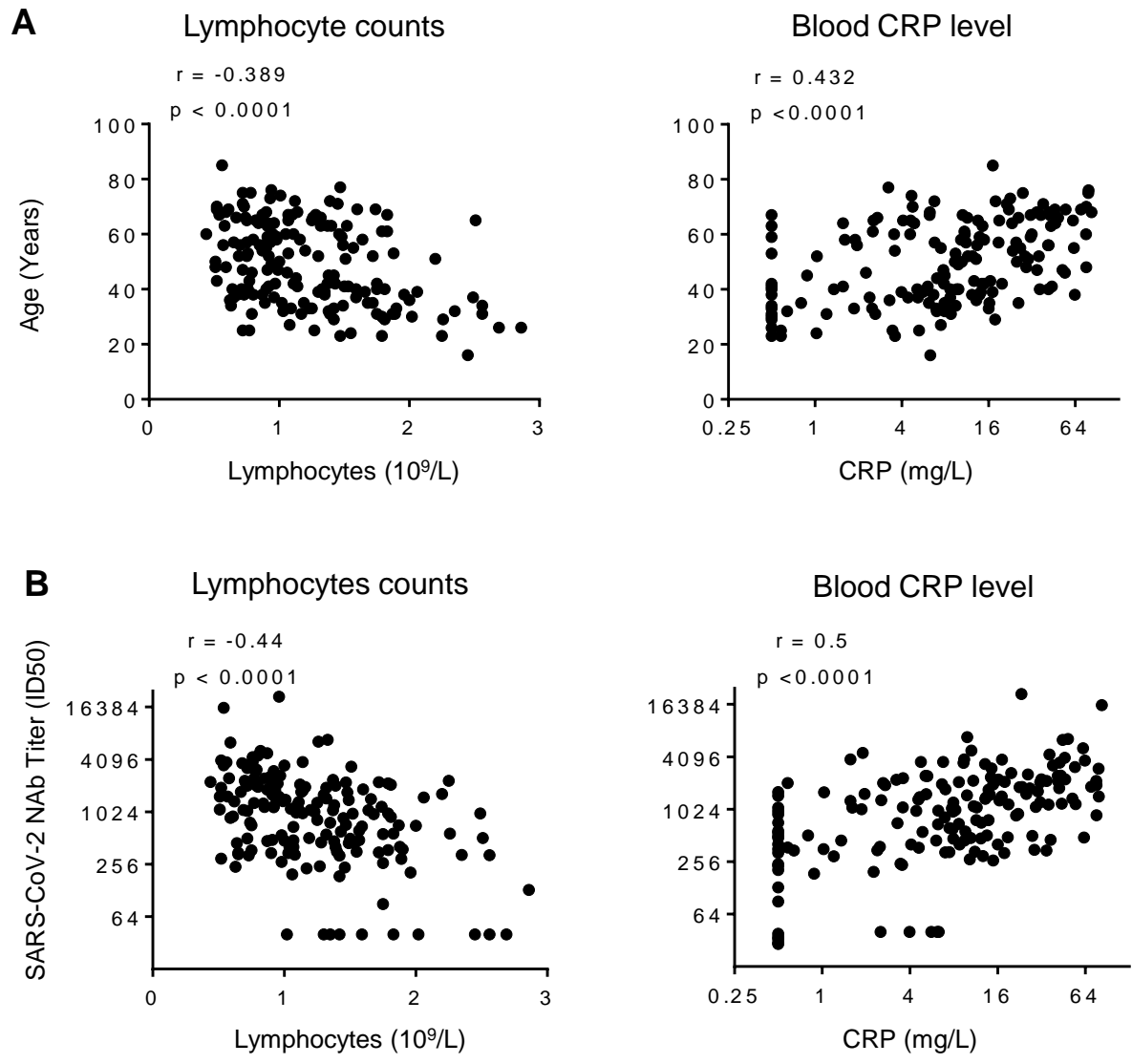
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Supplementary Table 1. Clinical characteristics of COVID-19 recovered patients with low, medium- low, medium-high, and high titers of SARS-CoV-2-specific NAbs

Patient Information	Low ^a	Medium-low ^a	Medium-high ^a	High ^a
	<500	500-999	1000-2500	>2500
Recovered Patient No.	52 (30%)	29 (17%)	69 (39%)	25 (14%)
Male	19 (23%)	13 (16%)	36 (44%)	14 (17%)
Female	33 (35%)	16 (17%)	33 (35%)	11 (12%)
Median Age (Years)	38 (16-68)	42 (23-75)	56 (23-77)	63 (35-85)
Length of Stay (Days)	14.5 (8-29)	15 (7-28)	16 (8-30)	18 (10-29)
Disease Duration (Days)	20 (9-33)	21 (16-31)	22 (11-34)	23 (13-32)
Median NAb titers (ID50)	327 (40-488)	715 (504-989)	1642 (1004-2482)	3800 (2560-21567)

^a SARS-CoV-2-specific NAbs titer (ID50) values < 500 were defined as low levels, values between 500 and 999 were defined as medium-low levels, values between 1000 and 2500 were defined as medium-high levels, and values >2500 were defined as high levels.

Supplementary Table 2. Clinical characteristics of ten COVID-19 recovered patients with undetectable level of SARS-CoV-2 specific NAb.

ID	Age (Years)	Gender	ID50^a	ID80^a	Length of Hospital (Days)	Disease Duration (Days)	Temp (°C)	Viral RNA tests	Symptoms
P1	30	F	<40	<40	22	31	37.8	+	fever and stuffy nose
P2	35	F	<40	<40	17	22	37.6	+	Cough, sore muscles, and stuffy nose
P3	16	M	<40	<40	9	12	37.7	+	Stuffy nose, runny nose, and cough
P4	39	F	<40	<40	8	12	38.1	+	Cough
P5	40	M	<40	<40	13	14	37.9	+	Cough and chest pain
P6	33	F	<40	<40	13	15	37.4	+	Fatigue
P7	61	F	<40	<40	18	22	37.2	+	Chill
P8	39	F	<40	<40	21	23	38.1	+	Sore throat, cough, and fatigue
P9	26	F	<40	<40	8	9	38	+	Cough
P10	31	F	<40	<40	12	23	38.4	+	Cough and dizziness

^a ID50, ID80: < 40 represents the NAb titers were under the detectable level in neutralization assay.

Supplementary Table 3. Clinical characteristics and SARS-CoV-2-specific NAb titers of young, middle-age, and elderly COVID-19 recovered patients

Patient Information	Age Distribution (Years)		
	15-39	40-59	60-85
Recovered Patient No.	55 (31%)	64 (37%)	56 (32%)
Male	27 (33%)	33 (40%)	22 (27%)
Female	28 (30%)	31 (33%)	34 (37%)
Length of stay (days)	14 (8-26)	16 (7-30)	17 (7-29)
Disease duration (days)	21 (9-32)	21 (11-34)	22 (15-33)
Median NAb titers (ID50)	448 (40-3717)	1255 (40-6888)	1537 (40-21576)

